

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gary L. Gastineau et al.

Appl. No.: 09/815,589

Filed: March 23, 2001

For: HEDGING TRADING OF MUTUAL  
FUNDS OR OTHER PORTFOLIO  
BASKET PRODUCTS

Examiner: Shrestha, Bijendra K.

Art Unit: 3691

Confirmation No.: 7446

Atty. Docket No: 00322.0007.CNUS01

Customer No. 22930

**Reply and Amendment**

***Mail Stop Amendment***

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated **August 5, 2009**, Applicants submit the following Amendment and Remarks.

Any necessary extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 08-3038 referencing docket number **00322.0007.CNUS01**.

**Amendments to the Claims** are reflected in the **Listing of Claims**, which begins on page 2 of this paper.

**Remarks** begin on page 9 of this paper.

**Listing of Claims**

The following listing of claims is intended to supercede all previously filed listings of claims. Changes are shown with deletions in ~~striketrough~~ or double brackets [[ ]] and additions underlined.